# FORMALITY AND HARD LEFSCHETZ PROPERTIES OF ASPHERICAL MANIFOLDS

#### HISASHI KASUYA

ABSTRACT. For a virtually polycyclic group  $\Gamma$ , we consider an aspherical manifold  $M_{\Gamma}$  with  $\pi_1(M_{\Gamma}) = \Gamma$  constructed by Baues. For a Lie group  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  with the action  $\phi : \mathbb{R}^n \to \operatorname{Aut} \mathbb{R}^m$  is semi-simple, we show that if  $\Gamma$  is a finite extension of a lattice of G then  $M_{\Gamma}$  is formal. Moreover if  $M_{\Gamma}$  admits a symplectic structure, we show  $M_{\Gamma}$  satisfies the hard Lefschetz property. By those results we give many examples of formal solvmanifolds satisfying the hard Lefschetz properties but not admitting Kähler structures.

#### 1. Introduction

Formal spaces in the sense of Sullivan are important for de Rham homotopy theory. Famous examples of formal spaces are compact Kähler manifolds (see [7]). Suppose  $\Gamma$  is a torsion-free finitely generated nilpotent group. Then  $K(\Gamma, 1)$  is formal if and only if  $\Gamma$  is abelian by Hasegawa's theorem in [9]. But in case  $\Gamma$  is a virtually polycyclic group, the formality of  $K(\Gamma, 1)$  is more complicated. One of the purposes of this paper is to apply the way of the algebraic hull of  $\Gamma$  to study the formality of  $K(\Gamma, 1)$ . For a torsion-free virtually polycyclic group  $\Gamma$ , we have a unique algebraic group  $\mathbf{H}_{\Gamma}$  with an injective homomorphism  $\psi: \Gamma \to \mathbf{H}_{\Gamma}$  so that:

- (1)  $\psi(\Gamma)$  is Zariski-dense in  $\mathbf{H}_{\Gamma}$ .
- (2) The centralizer  $Z_{\mathbf{H}_{\Gamma}}(\mathbf{U}(\mathbf{H}_{\Gamma}))$  of  $\mathbf{U}(\mathbf{H}_{\Gamma})$  is contained in  $\mathbf{U}(\mathbf{H}_{\Gamma})$ .
- (3) dim  $\mathbf{U}(\mathbf{H}_{\Gamma}) = \operatorname{rank} \Gamma$ .

Such  $\mathbf{H}_{\Gamma}$  is called the algebraic hull of  $\Gamma$ . We call the unipotent radical of  $\mathbf{H}_{\Gamma}$  the unipotent hull of  $\Gamma$  and denote it by  $\mathbf{U}_{\Gamma}$ . In [3], Baues constructed a compact aspherical manifold  $M_{\Gamma}$  with the fundamental group  $\Gamma$  called the standard  $\Gamma$ -manifold by the algebraic hull of  $\Gamma$ . And he gave the way of computation of the de Rham cohomology of  $M_{\Gamma}$ . By the application of these results, we prove:

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**Proposition 1.1.** If the unipotent hull  $U_{\Gamma}$  of  $\Gamma$  is abelian,  $K(\Gamma, 1)$  is formal.

So we are interested in knowing criteria for  $\mathbf{U}_{\Gamma}$  to be abelian. We prove the following theorem.

**Theorem 1.2.** Let  $\Gamma$  be a torsion-free virtually polycyclic group. Then the following two conditions are equivalent:

- (1)  $\mathbf{U}_{\Gamma}$  is abelian.
- (2)  $\Gamma$  is a finite extension group of a lattice of a Lie group  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that the action  $\phi : \mathbb{R}^n \to \operatorname{Aut}(\mathbb{R}^m)$  is semi-simple.

Therefore we have:

Corollary 1.3. If  $\Gamma$  satisfies the condition (2) in Theorem 1.2, then  $K(\Gamma, 1)$  is formal.

By this corollary, we have examples of formal spaces which give a relation to the geometries of 3-dimensional manifolds.

Corollary 1.4. Let M be a compact 3-dimensional manifold. If the geometric structure of M is  $E^3$  or Sol, then M is formal.

As in the case of formality the hard Lefschetz properties are important properties of compact Kähler manifolds. We have the following proposition.

**Proposition 1.5.** Suppose the standard  $\Gamma$ -manifold  $M_{\Gamma}$  admits a symplectic structure. If the unipotent hull  $\mathbf{U}_{\Gamma}$  is abelian,  $M_{\Gamma}$  satisfies the hard Lefschetz property.

A solvmanifold is an example of standard  $\Gamma$ -manifold  $M_{\Gamma}$ . For a nilmanifold M, if M is formal or satisfies the hard Lefschetz property, then M is diffeomorphic to a torus(see [4] [9]). But by the result of this paper and Arapura's theorem in [1], we have many examples of formal solvmanifolds satisfying the hard Lefschetz properties but not admitting Kähler structures.

**Corollary 1.6.** Let  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that  $\phi : \mathbb{R}^n \to \operatorname{Aut}(\mathbb{R}^m)$  is semi-simple and G is not type (I) i.e. for any  $g \in G$  the all eigenvalues of  $\operatorname{Ad}_g$  have absolute value 1. Then for any lattice  $\Gamma$  of G,  $G/\Gamma$  is formal solvmanifolds which does not admit a Kähler structure. If  $G/\Gamma$  admits a symplectic structure,  $G/\Gamma$  satisfies the hard Lefschetz property.

The paper is organized in the following way. In Section 2 the Preliminaries for this paper are written. In Section 3 we review the algebraic hulls of virtually polycyclic groups or solvable Lie groups. We compile some facts in [3] and [15]. In Section 4 we prove Theorem 1.2. The

idea of this section is to apply the embeddings solvable Lie algebras in splittable Lie algebras. In Section 5 we prove Proposition 1.1 and Corollary 1.4. In Section 6 we prove Proposition 1.5 and Corollary 1.6. In Section 7 we give an example of a formal standard  $\Gamma$ -manifold with the hard Lefschetz property such that  $U_{\Gamma}$  is not abelian.

#### 2. Preliminaries

- 2.1. Algebraic groups. Let k be a subfield of  $\mathbb{C}$ . A group  $\mathbf{G}$  is called k-algebraic group if  $\mathbf{G}$  is a Zariski-closed subgroup of  $GL_n(\mathbb{C})$  which is defined by polynomials with coefficients in k. Let  $\mathbf{G}(k)$  denote the set of k-points of  $\mathbf{G}$  and  $\mathbf{U}(\mathbf{G})$  the maximal Zariski-closed unipotent normal k-subgroup of  $\mathbf{G}$  called the unipotent radical of  $\mathbf{G}$ . In this paper, algebraic groups are always written in the bold face.
- 2.2. Nilpotent Lie algebras and  $\mathbb{R}$ -unipotent algebraic groups. Let N be a simply connected Lie group and  $\mathfrak{n}$  the Lie algebra of N, By the Baker-Campbell-Hausdorff formula, the exponential map  $\exp:\mathfrak{n}\to N$  is a diffeomorphism and we have the group structure on  $\mathfrak{n}$  induced by N such that

$$X \cdot Y = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}[X - Y, [X, Y]] + \cdots$$

Since  $\mathfrak n$  is nilpotent,  $X+Y+\frac{1}{2}[X,Y]+\frac{1}{12}[X-Y,[X,Y]]\cdots$  is a finite sum and given by polynomial functions on  $\mathfrak n\cong\mathbb R^{\dim\mathfrak n}$ . So we have an  $\mathbb R$ - algebraic group structure on  $\mathfrak n_\mathbb C\cong\mathbb C^{\dim\mathfrak n}$  such that  $\mathfrak n_\mathbb C(\mathbb R)=\mathfrak n$ .

Let  $\mathbf{U_n}(\mathbb{C})$  be the upper triangular matrices and  $\mathfrak{u}_n(\mathbb{C})$  Lie algebra of  $\mathbf{U_n}(\mathbb{C})$ . Then the exponential map  $\exp:\mathfrak{u}_n(\mathbb{C})\to\mathbf{U_n}(\mathbb{C})$  gives the isomorphism of  $\mathbb{R}$ -algebraic groups  $\mathfrak{u}_n(\mathbb{C})$  and  $\mathbf{U_n}(\mathbb{C})$  For any Lie subalgebra  $\mathfrak{u}$  of  $\mathfrak{u}_n(\mathbb{R})$ , we have the faithful representation of an  $\mathbb{R}$ -algebraic group  $\exp:\mathfrak{u}\otimes\mathbb{C}\to\mathbf{U_n}(\mathbb{C})$ . So we have the 1-1 correspondence between Lie sub-algebras of  $\mathfrak{u}_n(\mathbb{R})$  and  $\mathbb{R}$ -algebraic subgroups of  $\mathbf{U_n}(\mathbb{C})$ . By Engel's theorem, any nilpotent Lie algebra is a Lie subalgebra of  $\mathfrak{u}_n(\mathbb{R})$  for some n. Hence we have:

**Proposition 2.1.** By the exponential map, we have the 1-1 correspondence between nilpotent Lie algebras and unipotent  $\mathbb{R}$ -algebraic groups.

Let  $\mathfrak{n}_1$ ,  $\mathfrak{n}_2$  be nilpotent Lie algebras. Let  $\mathbf{N}_1$ ,  $\mathbf{N}_2$  be the  $\mathbb{R}$ -unipotent groups which correspond to  $\mathfrak{n}_1$ ,  $\mathfrak{n}_2$ . Let  $f:\mathfrak{n}_1\to\mathfrak{n}_2$  be a Lie algebra homomorphism. Since f is a linear map,  $f:\mathfrak{n}_1\otimes\mathbb{C}\to\mathfrak{n}_2\otimes\mathbb{C}$  is a  $\mathbb{R}$ -algebraic group homomorphism. By  $f\to\exp\circ f\circ\exp^{-1}$ , we have the 1-1 correspondence between Lie algebra homomorphisms  $\mathfrak{n}_1\to\mathfrak{n}_2$  and  $\mathbb{R}$ -algebraic group homomorphisms  $\mathbf{N}_1\to\mathbf{N}_2$ .

For a nilpotent Lie algebra  $\mathfrak{n}$ , the group of automorphisms  $\operatorname{Aut}(\mathfrak{n}_{\mathbb{C}})$  is an  $\mathbb{R}$ -algebraic group with  $\operatorname{Aut}(\mathfrak{n}_{\mathbb{C}})(\mathbb{R}) = \operatorname{Aut}(\mathfrak{n})$  by the Lie bracket on  $\mathfrak{n}$ . Let  $\mathbf{N}$  be the  $\mathbb{R}$ -algebraic group which corresponds to  $\mathfrak{n}$ . Let  $\operatorname{Aut}_{\mathbf{a}}(\mathbf{N})$  denote the group of automorphisms of  $\mathbf{N}$ . By the above correspondence, we identify  $\operatorname{Aut}(\mathfrak{n}_{\mathbb{C}})$  with  $\operatorname{Aut}_{a}(\mathbf{N})$ .

# 3. Algebraic hulls

In this section we explain the algebraic hulls of polycyclic groups or simply connected solvable Lie groups. We compile some facts in [3] and [15] and prove some lemmas to prove the main theorem.

3.1. Polycyclic groups and simply connected solvable Lie groups. We first review basic informations of polycyclic groups. See [15] and [18] for more details.

**Definition 3.1.** A group  $\Gamma$  is polycyclic if it admits a sequence

$$\Gamma = \Gamma_0 \supset \Gamma_1 \supset \cdots \supset \Gamma_k = \{e\}$$

of subgroups such that each  $\Gamma_i$  is normal in  $\Gamma_{i-1}$  and  $\Gamma_{i-1}/\Gamma_i$  is cyclic. We denote rank  $\Gamma = \sum_{i=1}^{i=k} \operatorname{rank} \Gamma_{i-1}/\Gamma_i$ .

We have relations between polycyclic groups and solvable Lie groups. We have the following two theorem.

**Theorem 3.2.** ([15, Proposition 3.7, Theorem 4.28]) Let G be a simply connected solvable Lie group and  $\Gamma$  be a lattice in G. Then  $\Gamma$  is torsion-free polycyclic and dim  $G = \operatorname{rank} \Gamma$ . Conversely every polycyclic group admits a finite index normal subgroup which is isomorphic to a lattice in a simply connected solvable Lie group.

Let  $\Gamma$  be a virtually polycyclic group and  $\Gamma'$  be a finite index polycyclic subgroup. We denote rank  $\Gamma = \operatorname{rank} \Gamma'$ .

3.2. Algebraic hulls of torsion-free virtually polycyclic groups. Let k be a subfield of  $\mathbb{C}$ . Let  $\Gamma$  be a torsion-free virtually polycyclic group.

**Definition 3.3.** We call a k-algebraic group  $\mathbf{H}_{\Gamma}$  a k-algebraic hull of  $\Gamma$  if there exists an injective group homomorphism  $\psi : \Gamma \to \mathbf{H}_{\Gamma}(k)$  and  $\mathbf{H}_{\Gamma}$  satisfies the following conditions:

- (1)  $\psi(\Gamma)$  is Zariski-dense in  $\mathbf{H}_{\Gamma}$ .
- (2)  $Z_{\mathbf{H}_{\Gamma}}(\mathbf{U}(\mathbf{H}_{\Gamma})) \subset \mathbf{U}(\mathbf{H}_{\Gamma}).$
- (3) dim  $\mathbf{U}(\mathbf{H}_{\Gamma}) = \operatorname{rank} \Gamma$ .

**Theorem 3.4.** ([3, Theorem A.1]) There exists a k-algebraic hull of  $\Gamma$  and a k-algebraic hull of  $\Gamma$  is unique up to k-algebraic group isomorphism.

Let  $\mathbf{H}_{\Gamma}$  be the k-algebraic hull of  $\Gamma$  and let  $\mathbf{U}_{\Gamma}$  be the unipotent radical of  $\mathbf{H}_{\Gamma}$ . We call  $\mathbf{U}_{\Gamma}$  the k-unipotent hull of  $\Gamma$ .

Let  $\Gamma$  be a torsion-free virtually polycyclic group and  $\Delta \subset \Gamma$  be a finite index subgroup of  $\Gamma$ . Let  $\mathbf{H}_{\Gamma}$  be the k-algebraic hull of  $\Gamma$  and  $\mathbf{G}$  the Zariski-closure of  $\psi(\Delta)$  in  $\mathbf{H}_{\Gamma}$ .

**Lemma 3.5.** The algebraic group G is the k-algebraic hull of  $\Delta$  and we have  $U_{\Delta} = U_{\Gamma}$ .

*Proof.* Let  $\mathbf{H}_{\Gamma}^0$  be the identity component of  $\mathbf{H}_{\Gamma}$ . Since  $\mathbf{G}$  is a closed finite index subgroup of  $\mathbf{H}_{\Gamma}$ , we have  $\mathbf{H}_{\Gamma}^0 \subset \mathbf{G}$ . Since  $\Gamma$  is virtually polycyclic,  $\mathbf{H}_{\Gamma}^0$  is solvable. Hence we have  $\mathbf{U}(\mathbf{H}_{\Gamma}) = (\mathbf{H}_{\Gamma}^0)_{unip} = \mathbf{U}(\mathbf{G})$ . Since rank  $\Gamma = \operatorname{rank} \Delta$ , we have

$$\dim \mathbf{U}(\mathbf{G}) = \operatorname{rank} \Delta.$$

And we have

$$Z_{\mathbf{G}'}(\mathbf{U}(\mathbf{G})) \subset Z_{\mathbf{H}_{\Gamma}}(\mathbf{U}(\mathbf{H}_{\Gamma})) \subset \mathbf{U}(\mathbf{H}_{\Gamma}) = \mathbf{U}(\mathbf{G}).$$

Hence the lemma follows.

3.3. Algebraic hulls of simply connected solvable Lie groups. Let G be a simply connected solvable  $\mathbb{R}$ -Lie group. Let k be a subfield of  $\mathbb{C}$  which contains  $\mathbb{R}$  as a subfield.

**Lemma 3.6.** ([15, Lemma 4.36]) Let  $\rho : G \to GL_n(\mathbb{C})$  be a representation and G be the Zariski-closure of  $\rho(G)$  in  $GL_n(\mathbb{C})$ . Then we have

$$\dim \mathbf{U}(\mathbf{G}) < \dim G$$
.

**Definition 3.7.** We call a k-algebraic group  $\mathbf{H}_G$  a k-algebraic hull of G if there exists an injective Lie group homomorphism  $\psi: G \to \mathbf{H}_{\Gamma}(k)$  and satisfies the following conditions:

- (1)  $\psi(G)$  is Zariski-dense in  $\mathbf{H}_G$ .
- (2)  $Z_{\mathbf{H}_G}(\mathbf{U}(\mathbf{H}_G)) \subset \mathbf{U}(\mathbf{H}_G)$ .
- (3)  $\dim \mathbf{U}(\mathbf{H}_G) = \dim G$ .

**Theorem 3.8.** ([15, Proposition 4.4]) There exists a k-algebraic hull of G and a  $\mathbb{R}$ -algebraic hull of G is unique up to k-algebraic group isomorphism.

We call the unipotent radical of the k-algebraic hull of G the k-unipotent hull of G and denote  $\mathbf{U}_G$ .

Suppose G has a lattice  $\Gamma$ .

**Theorem 3.9.** ([15, Theorem 3.2]) Let  $\rho : G \to GL_n(\mathbb{C})$  be a representation and let G and G' be the Zariski-closures of  $\rho(G)$  and  $\rho(\Gamma)$  in  $GL_n(\mathbb{C})$ . Then we have U(G) = U(G').

Let  $\psi: G \to \mathbf{H}_G$  be the  $\mathbb{R}$ -algebraic hull of G and  $\mathbf{H}'$  be the Zariski-closure of  $\psi(\Gamma)$  in  $\mathbf{H}_{\mathbf{G}}$ .

**Lemma 3.10.** H' is the  $\mathbb{R}$ -algebraic hull of  $\Gamma$  and we have  $\mathbf{U}_G = \mathbf{U}_{\Gamma}$ .

*Proof.* By Theorem 3.9,  $U(\mathbf{H}_G) = U(\mathbf{H}')$ . So we have

$$\dim \mathbf{U}(\mathbf{H}') = \dim \mathbf{U}(\mathbf{H}_G) = \dim G = \operatorname{rank} \Gamma$$

and

$$Z_{\mathbf{H}'}(\mathbf{U}(\mathbf{H}')) \subset Z_{\mathbf{H}_G}(\mathbf{U}(\mathbf{H}_G)) \subset \mathbf{U}(\mathbf{H}_G) \subset \mathbf{U}(\mathbf{H}').$$

Hence the lemma follows.

3.4. Cohomology computations of aspherical manifolds with virtually torsion-free polycyclic fundamental groups. Let  $\Gamma$  be a torsion-free virtually polycyclic group. and  $\mathbf{H}_{\Gamma}$  be the  $\mathbb{Q}$ -algebraic hull of  $\Gamma$ . Denote  $H_{\Gamma} = \mathbf{H}_{\Gamma}(\mathbb{R})$ . Let  $U_{\Gamma}$  be the unipotent radical of  $H_{\Gamma}$  and let T be a maximal reductive subgroup. Then  $H_{\Gamma}$  decomposes as a semi-direct product  $H_{\Gamma} = T \ltimes U_{\Gamma}$ . Let  $\mathfrak{u}$  be the Lie algebra of  $U_{\Gamma}$ . Since the exponential map  $\exp : \mathfrak{u} \longrightarrow U_{\Gamma}$  is a diffeomorphism,  $U_{\Gamma}$  is diffeomorphic to  $\mathbb{R}^n$  such that  $n = \operatorname{rank} \Gamma$ . The splitting  $H_{\Gamma} = T \ltimes U_{\Gamma}$  gives rise to the affine action  $\alpha : H_{\Gamma} \longrightarrow \operatorname{Aut}(U_{\Gamma}) \ltimes U_{\Gamma}$  such that  $\alpha$  is an injective homomorphism.

In [3] Baues constructed a compact aspherical manifold  $M_{\Gamma} = \alpha(\Gamma) \setminus U_{\Gamma}$  with  $\pi_1(M_{\Gamma}) = \Gamma$ . We call  $M_{\Gamma}$  a standard  $\Gamma$ -manifold.

**Theorem 3.11.** ([3, Theorem 1.2]) Standard  $\Gamma$ -manifold is unique up to diffeomorphism.

Let  $A^*(M_{\Gamma})$  be the de Rham complex of  $M_{\Gamma}$ . Then  $A^*(M_{\Gamma})$  is the set of the  $\Gamma$ -invariant differential forms  $A^*(U_{\Gamma})^{\Gamma}$  on  $U_{\Gamma}$ . Let  $(\bigwedge \mathfrak{u}^*)^T$  be the left-invariant forms on  $U_{\Gamma}$  which are fixed by T. Since  $\Gamma \subset H_{\Gamma} = T \ltimes U_{\Gamma}$ , we have the inclusion

$$(\bigwedge \mathfrak{u}^*)^T = A^*(U_\Gamma)^{H_\Gamma} \subset A^*(U_\Gamma)^\Gamma = A^*(M_\Gamma).$$

**Theorem 3.12.** ([3, Theorem 1.8]) This inclusion induces a cohomology isomorphism.

## 4. Constructions of algebraic hulls

4.1. The embedings of solvable Lie algebras in splittable Lie algebras. The idea of this subsection is based on [16]. Let  $\mathfrak{g}$  be a solvable Lie algebra, and  $\mathfrak{n} = \{X \in \mathfrak{g} | \mathrm{ad}_X \text{ is nilpotent} \}$ .  $\mathfrak{n}$  is the maximal nilpotent ideal of  $\mathfrak{g}$  and called the nilradical of  $\mathfrak{g}$ .

**Lemma 4.1.** ([12, p.58]) We have  $[\mathfrak{g}, \mathfrak{g}] \subset \mathfrak{n}$ .

Let  $D(\mathfrak{g})$  be the derivation of  $\mathfrak{g}$ . By the Jordan decomposition, we consider  $\mathrm{ad}_X = d_X + n_X$  such that  $d_X$  is a semi-simple operator and  $n_X$  is a nilpotent operator.

**Lemma 4.2.** ([16, Proposition 3]) We have  $d_X$ ,  $n_X \in D(\mathfrak{g})$ .

Then we have the homomorphism  $f: \mathfrak{g} \to D(\mathfrak{g})$  such that  $f(X) = d_X$  for  $X \in \mathfrak{g}$ . Since  $\ker f = \mathfrak{n}$ , we have  $\operatorname{Im} f \cong \mathfrak{g}/\mathfrak{n}$ .

Let  $\bar{\mathfrak{g}} = \operatorname{Im} f \ltimes \mathfrak{g}$ . Let  $\bar{\mathfrak{n}} = \{X - d_X \in \bar{\mathfrak{g}} | X \in \mathfrak{g}\}$ . Since  $\operatorname{ad}_{X - d_X} = \operatorname{ad}_X - d_X$  on  $\mathfrak{g}$ ,  $\operatorname{ad}_{X - d_X}$  is a nilpotent operator. So  $\bar{\mathfrak{n}}$  consists of nilpotent elements.

**Proposition 4.3.** We have  $d_X(\bar{\mathfrak{n}}) \subset \mathfrak{n}$  for any  $X \in \mathfrak{g}$ ,  $\bar{\mathfrak{n}}$  is a nilpotent ideal of  $\bar{\mathfrak{g}}$  and  $\bar{\mathfrak{g}} = \operatorname{Im} f \ltimes \bar{\mathfrak{n}}$ .

*Proof.* By Lie's theorem, we choose a basis  $X_1, \ldots, X_l$  of  $\mathfrak{n} \otimes \mathbb{C}$  such that  $\mathrm{ad}_{\mathfrak{g}}$  on  $\mathfrak{n}$  are represented by upper triangular matrices. For any  $X \in \mathfrak{g}$ , we have

$$\operatorname{ad}_X(X_1) = a_{X,1}X_1$$
  
 $\operatorname{ad}_X(X_2) = a_{X,2} + b_{X,12}X_1$   
.

 $\operatorname{ad}_X(X_l) = a_{X,l}X_l + b_{X,l-1l}X_{l-1} + \dots + b_{X,1l}X_1.$ 

We take a basis  $X_1, \ldots X_l, X_{l+1}, \ldots X_{l+m}$  of  $\mathfrak{g} \otimes \mathbb{C}$ . By Lemma 4.1,  $\operatorname{ad}_X(X_i) \in \mathfrak{n}$ . Hence we have

$$\operatorname{ad}_X(X_{l+1}) = b_{X,ll+1}X_l + \dots + b_{X,1l+1}X_1$$

:

 $\operatorname{ad}_{X}(X_{l+m}) = b_{X,ll+m}X_{l} + \dots + b_{X,1l+m}X_{1}.$ 

Then we have

$$d_X(X_i) = a_{X,i}X_i \qquad 1 \le i \le l$$
  
$$d_X(X_i) = 0 \qquad l+1 \le i \le l+m$$

and we have  $d_X(\mathfrak{g}) \subset \mathfrak{n}$  and  $d_X(\bar{\mathfrak{n}}) \subset \mathfrak{n}$ . This implies  $[\bar{\mathfrak{g}}, \bar{\mathfrak{g}}] \subset \mathfrak{n}$ . In particular,  $\bar{\mathfrak{n}}$  is an ideal of  $\bar{\mathfrak{g}}$ . Since  $\bar{\mathfrak{n}}$  consists of nilpotent elements,  $\bar{\mathfrak{n}}$  is a nilpotent ideal. By  $\bar{\mathfrak{g}} = \{d_X + Y - d_Y | X, Y \in \mathfrak{g}\}$ , we have  $\bar{\mathfrak{g}} = \operatorname{Im} f \ltimes \bar{\mathfrak{n}}$ .

By this proposition, we have the inclusion  $i : \mathfrak{g} \to D(\bar{\mathfrak{n}}) \ltimes \bar{\mathfrak{n}}$  given by  $i(X) = d_X + X - d_X$  for  $X \in \mathfrak{g}$ .

4.2. Constructions of algebraic hulls of simply connected solvable Lie groups. Let G be a simply connected solvable Lie group and  $\mathfrak{g}$  be the Lie algebra of G. Let N be the subgroup of G which corresponds to the nilradical  $\mathfrak{n}$  of  $\mathfrak{g}$ . Consider the injection  $i \colon \mathfrak{g} \to \operatorname{Im} f \ltimes \bar{\mathfrak{n}} \subset D(\bar{\mathfrak{n}}) \ltimes \bar{\mathfrak{n}}$  constructed in the last subsection. Let  $\bar{N}$  be the simply connected Lie group which corresponds to  $\bar{\mathfrak{n}}$ . Since the Lie algebra of  $\operatorname{Aut}(\bar{N}) \ltimes \bar{N}$  is  $D(\bar{\mathfrak{n}}) \ltimes \bar{\mathfrak{n}}$ , we have the Lie group homomorphism  $I : G \to \operatorname{Aut}(\bar{N}) \ltimes \bar{N}$  induced by the injective homomorphism  $i : \mathfrak{g} \to D(\bar{\mathfrak{n}}) \ltimes \bar{\mathfrak{n}}$ .

**Lemma 4.4.** The homomorphism  $I: G \to \operatorname{Aut}(\bar{N}) \ltimes \bar{N}$  is injective.

Proof. Since the restriction of  $i:\mathfrak{g}\to D(\bar{\mathfrak{n}})\ltimes \bar{\mathfrak{n}}$  on  $\mathfrak{n}$  is injective, the restriction  $I:G\to \operatorname{Aut}(\bar{N})\ltimes \bar{N}$  on N is also injective. Let  $T_f$  be the subgroup of  $\operatorname{Aut}(\bar{N})$  which corresponds to  $\operatorname{Im} f$ . We have  $I:G\to T_f\ltimes \bar{N}$ . By Proposition 4.3,  $\bar{\mathfrak{g}}/\mathfrak{n}=\operatorname{Im} f\oplus \bar{\mathfrak{n}}/\mathfrak{n}$ . So we have  $I:G/N\to T_f\times \bar{N}/N$  and it is sufficient to show this map is injective. Let  $j:\operatorname{Im} f\oplus \bar{\mathfrak{n}}/\mathfrak{n}\to \bar{\mathfrak{n}}/\mathfrak{n}$  be the projection and  $J:T_f\times \bar{N}/N\to \bar{N}/N$  be the homomorphism which corresponds to j. Since the composition

$$j \circ i(X \mod \mathfrak{n}) = X - d_X \mod \mathfrak{n}$$

is surjective,  $j \circ i : \mathfrak{g}/\mathfrak{n} \to \bar{\mathfrak{n}}/\mathfrak{n}$  is an isomorphism. Since G/N and  $\bar{N}/N$  are simply connected abelian groups,  $J \circ I : G/N \to \bar{N}/N$  is also an isomorphism. Hence  $I : G/N \to T_f \times \bar{N}/N$  is injective.

We have the unipotent  $\mathbb{R}$ -algebraic group  $\bar{\mathbf{N}}$  with  $\bar{\mathbf{N}}(\mathbb{R}) = \bar{N}$ . We identify  $\mathrm{Aut_a}(\bar{\mathbf{N}})$  with  $\mathrm{Aut}(\mathfrak{n}_{\mathbb{C}})$  and  $\mathrm{Aut_a}(\bar{\mathbf{N}})$  has the  $\mathbb{R}$ -algebraic group structure with  $\mathrm{Aut_a}(\bar{\mathbf{N}})(\mathbb{R}) = \mathrm{Aut}(N)$ . So we have the  $\mathbb{R}$ -algebraic group  $\mathrm{Aut_a}(\bar{\mathbf{N}}) \ltimes \bar{\mathbf{N}}$ . By the above lemma, we have the injection  $I: G \to \mathrm{Aut}(N) \ltimes N = \mathrm{Aut_a}(\bar{\mathbf{N}}) \ltimes \bar{\mathbf{N}}(\mathbb{R})$ . Let G be the Zariski-closure of I(G) in  $\mathrm{Aut_a}(\bar{\mathbf{N}}) \ltimes \bar{\mathbf{N}}$ .

# Lemma 4.5. We have $U(G) = \bar{N}$ .

Proof. Let  $\mathbf{T}$  be the Zariski-closure of  $T_f$  in Aut  $\bar{\mathbf{N}}$ . Then  $\mathbf{G} \subset \mathbf{T} \ltimes \bar{\mathbf{N}}$ . Since  $\mathbf{G}$  is connected solvable and  $\mathbf{T}$  consists of semi-simple automorphisms, we have  $\mathbf{U}(\mathbf{G}) = \mathbf{G} \cap \bar{\mathbf{N}}$ . By this, it is sufficient to show dim  $\mathbf{U}(\mathbf{G}) = \dim G$ . Let  $\mathbf{N}$  be the Zariski-closure of I(N). By  $I(N) \subset \bar{N}$ , we have  $\mathbf{U}(\mathbf{G})/\mathbf{N} = \mathbf{U}(\mathbf{G}/\mathbf{N})$ . Thus it is sufficient to show dim  $\mathbf{U}(\mathbf{G}/\mathbf{N}) = \dim G/N$ . Consider the induced map  $I: G/N \to T_f \times \bar{N}/N$  as the proof of Lemma 4.4. The Zariski-closure of I(G/N) in  $\mathbf{T} \times \bar{\mathbf{N}}/\mathbf{N}$  is  $\mathbf{G}/\mathbf{N}$ . Since  $\mathbf{T} \times \bar{\mathbf{N}}/\mathbf{N}$  is commutative, the projection  $\mathbf{T} \times \bar{\mathbf{N}}/\mathbf{N} \to \bar{\mathbf{N}}/\mathbf{N}$  is an  $\mathbb{R}$ -algebraic group homomorphism,

and hence the Zariski-closure of  $J \circ I(G/N)$  in  $\bar{\mathbf{N}}/\mathbf{N}$  is  $\mathbf{U}(\mathbf{G}/\mathbf{N})$ . Otherwise in the proof of Lemma 4.4 we showed that  $J \circ I : G/N \to \bar{N}/N$  is isomorphism. This implies  $\bar{\mathbf{N}}/\mathbf{N} = \mathbf{U}(\mathbf{G}/\mathbf{N})$ . Hence the lemma follows.

By this lemma we have the following proposition.

**Proposition 4.6.** G is the algebraic hull of G and the Lie algebra of the unipotent hull  $U_G$  is  $\bar{\mathfrak{n}}_{\mathbb{C}}$ .

*Proof.* We show that **G** satisfies the properties of the algebraic hull of G. We have  $\dim \mathbf{U}(\mathbf{G}) = \dim \bar{\mathbf{N}} = \dim G$ . Let  $(t, x) \in Z_{\mathbf{G}}(\mathbf{U}(\mathbf{G})) \subset \operatorname{Aut}_{\mathbf{a}}\bar{\mathbf{N}} \ltimes \bar{\mathbf{N}}$ . Since  $\mathbf{U}(\mathbf{G}) = \mathbf{N}$  and t is a semi-simple automorphism, we have t(y) = y for any  $y \in \bar{\mathbf{N}}$ . So we have  $t = \operatorname{id}_{\bar{\mathbf{N}}}$ . We have  $Z_{\mathbf{G}}(\mathbf{U}(\mathbf{G})) \subset \mathbf{U}(\mathbf{G})$ . Hence the proposition follows.

4.3. **Proof of Theorem 1.2.** To prove the theorem, we first show the following lemma.

**Lemma 4.7.** Let  $\mathfrak{g}$  be a solvable Lie algebra and  $\mathfrak{n}$  the nilradical of  $\mathfrak{g}$ . If  $\mathfrak{n}$  is abelian and for the extension

$$0\to\mathfrak{n}\to\mathfrak{g}\to\mathfrak{g}/\mathfrak{n}\to0$$

the action of  $\mathfrak{g}/\mathfrak{n}$  on  $\mathfrak{n}$  is semi-simple, then we have a semi-direct decomposition  $\mathfrak{g} = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that  $\phi$  is semi-simple.

Proof. Let  $V_0$  be the weight vector space of the action of  $\mathfrak{g}/\mathfrak{n}$  on  $\mathfrak{n}$  with weight 0. Since  $\mathfrak{g}/\mathfrak{n}$  acts semi-simply, we have a direct sum  $\mathfrak{n} = \mathfrak{n}' \oplus V_0$  of  $\mathfrak{g}/\mathfrak{n}$ -modules. Then we have  $[\mathfrak{g},\mathfrak{g}] = [\mathfrak{g},\mathfrak{n}'] = \mathfrak{n}'$  and  $[\mathfrak{g},V_0] = 0$ . This implies that  $V_0$  is an ideal of  $\mathfrak{g}$ . Choose a subvector space  $\mathfrak{g}' \subset \mathfrak{g}$  so that we have  $\mathfrak{g} = \mathfrak{g}' \oplus V_0$  as a direct sum of vector spaces and  $\mathfrak{n}' \subset \mathfrak{g}'$ . Since we have

$$\mathfrak{n}'=[\mathfrak{g},\mathfrak{n}']\subset [\mathfrak{g},\mathfrak{g}']\subset [\mathfrak{g},\mathfrak{g}]=\mathfrak{n}',$$

 $\mathfrak{g}'$  is an ideal of  $\mathfrak{g}$ ,  $\mathfrak{n}'$  is an ideal of  $\mathfrak{g}'$  and  $\mathfrak{g}' \oplus V_0$  is also a direct sum of Lie algebras. Hence it is sufficient to show the extension

$$0\to \mathfrak{n}'\to \mathfrak{g}'\to \mathfrak{g}'/\mathfrak{n}'\to 0$$

sprits. By the construction of  $\mathfrak{g}'$  and  $\mathfrak{n}'$ ,  $\mathfrak{n}'$  does not contain a trivial  $\mathfrak{g}'/\mathfrak{n}'$ -module. By the result in [6], we have  $H^2(\mathfrak{g}'/\mathfrak{n}',\mathfrak{n}')=\{0\}$ . Hence the lemma follows.

**Theorem 4.8.** Let G be a simply connected solvable Lie group. Then  $U_G$  is abelian if and only if  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that the action  $\phi : \mathbb{R}^n \to \operatorname{Aut}(\mathbb{R}^m)$  is semi-simple.

Proof. Consider the inclusion  $i: \mathfrak{g} \to \operatorname{Im} f \ltimes \bar{\mathfrak{n}}$ . By the above argument, the Lie algebra of  $\mathbf{U}_G$  is  $\bar{\mathfrak{n}}_{\mathbb{C}}$ . Suppose  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that the action  $\phi: \mathbb{R}^n \to \operatorname{Aut} \mathbb{R}^m$  is semi-simple. It is sufficient to show  $\bar{\mathfrak{n}} = \{X - d_X | X \in \mathfrak{g}\} \subset \operatorname{Im} f \ltimes \bar{\mathfrak{n}}$  is an abelian Lie algebra. Let  $X, Y \in \mathfrak{g}$  and  $X = X_1 + X_2, Y = Y_1 + Y_2$  be the decompositions induced by the semi-direct product  $\mathfrak{g} = \mathbb{R}^n \ltimes_{\phi_*} \mathbb{R}^m$ . Then we have  $d_{X_2} = 0$ ,  $d_{Y_2} = 0$ ,  $[X_1, Y_1] = 0$  and  $[X_2, Y_2] = 0$  by the assumption. Hence we have

$$[X - d_X, Y - d_Y] = [X_1, Y_2] + [X_2, Y_1] - d_{X_1}(Y_2) + d_{Y_1}(X_2).$$

Since the action  $\phi_*$  is semi-simple, we have  $d_{X_1}(Y_2) = [X_1, Y_2]$  and  $d_{Y_1}(X_2) = [Y_1, X_2]$ . Therefore we have  $[X - d_X, Y - d_Y] = 0$ . This implies  $\bar{\mathbf{n}}$  is abelian.

Conversely we assume  $\mathbf{U}_G$  is abelian. By the assumption,  $\bar{\mathbf{n}}$  is abelian. Since  $i(\mathbf{n}) \subset \bar{\mathbf{n}}$ ,  $\mathbf{n}$  is abelian. By Lemma 4.7 it is sufficient to show that the action  $\mathfrak{g}/\mathfrak{n}$  on  $\mathfrak{n}$  is semi-simple. Suppose  $\mathrm{ad}_X$  on  $\mathfrak{n}$  is not semi-simple. Then  $\mathrm{ad}_X - d_X$  on  $\mathfrak{n}$  is not trivial. Since we have  $\bar{\mathbf{n}} = \{X - d_X | X \in \mathfrak{g}\} \subset \mathrm{Im} f \ltimes \bar{\mathbf{n}}$ , we have  $[\bar{\mathbf{n}}, \mathfrak{n}] \neq \{0\}$ . This contradicts  $\bar{\mathbf{n}}$  is abelian. Hence we have the action  $\mathfrak{g}/\mathfrak{n}$  on  $\mathfrak{n}$  is semi-simple.

By this theorem we show the following theorem.

**Theorem 4.9.** Let  $\Gamma$  be a torsion-free virtually polycyclic group. Then the following two conditions are equivalent:

- (1)  $\mathbf{U}_{\Gamma}$  is abelian.
- (2)  $\Gamma$  is a finite extension group of a lattice of Lie group G such that  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  with the action  $\phi : \mathbb{R}^n \to \operatorname{Aut}(\mathbb{R}^m)$  is semi-simple.

*Proof.* By Theorem 3.2, we have a finite index subgroup of  $\Gamma$  which isomorphic to a lattice of some simply connected solvable Lie group G. By Lemma 3.5 and 3.10, we have  $\mathbf{U}_{\Gamma} = \mathbf{U}_{G}$ . Hence by Theorem 4.8 we have the theorem.

## 5. Abelian unipotent hulls and formality

5.1. **Abelian unipotent hulls and formality.** We review the definition of formality and prove Proposition 1.1.

**Definition 5.1.** A differential graded algebra (called DGA) is a graded  $\mathbb{R}$ - algebra  $A^*$  with the following properties:

(1)  $A^*$  is graded commutative, i.e.

$$y \wedge x = (-1)^{p \cdot q} x \wedge y \quad x \in A^p \quad y \in A^q.$$

(2) There is a boundary operator  $d:A\to A$  of degree one such that  $d\circ d=0$  and

$$d(x \wedge y) = dx \wedge y + (-1)^p x \wedge dy \quad x \in A^p \quad y \in A^q.$$

Let A and B be DGAs. If a morphism of graded algebra  $\varphi: A \to B$  satisfies  $d \circ \varphi = \varphi \circ d$ , we call  $\varphi$  a morphism of DGAs. If a morphism of DGAs induces the cohomology isomorphism, we call it a quasi-isomorphism.

**Definition 5.2.** A and B are weakly equivalent if there is a finite diagram of DGAs

$$A \to C_1 \leftarrow C_2 \cdot \cdot \cdot \leftarrow B$$

such that all the morphisms are quasi-isomorphisms.

Let M be a smooth manifold. The De Rham complex  $A^*(M)$  of M is the basic example of a DGA. The cohomology algebra  $H^*(M, \mathbb{R})$  is a DGA with d = 0.

**Definition 5.3.** A smooth manifold M is formal if  $A^*(M)$  and  $H^*(M, \mathbb{R})$  are weakly equivalent.

**Proposition 5.4.** Let  $\Gamma$  be a torsion-free virtually polycyclic group. If the unipotent hull  $U_{\Gamma}$  is abelian, the standard  $\Gamma$ -manifold  $M_{\Gamma}$  is formal.

*Proof.* We denote U, T and  $(\bigwedge \mathfrak{u}^*)^T$  as Section 3.4. If the k-unipotent hull of  $\Gamma$  is abelian,  $(\bigwedge \mathfrak{u}^*, d) = (\bigwedge \mathfrak{u}^*, 0)$ . By Theorem 3.12, we have the diagram of DGAs

$$A^*(M_\Gamma) \leftarrow ((\bigwedge \mathfrak{u}^*)^T) = H^*(M_\Gamma)$$

such that the map  $A^*(M_{\Gamma}) \leftarrow ((\bigwedge \mathfrak{u}^*)^T)$  is a quasi-isomorphism. Hence the proposition follows.

By the last section we have the following corollary.

**Corollary 5.5.** If  $\Gamma$  satisfies the condition (2) in Theorem 4.9, then  $K(\Gamma, 1)$  is formal.

5.2. Relations to the geometries of 3-dimensional manifolds. We give examples of formal spaces which relate to 3-dimensional geometry. See [17] for the general theory of 3-dimensional geometries.

Corollary 5.6. Let M be a compact 3-dimensional manifold. If the geometric structure of M is  $E^3$  or Sol, then M is formal.

Proof. For  $E^3$ , for any lattice  $\Gamma$  in  $\mathrm{Isom}(E^3) \cong \mathbb{R}^3 \rtimes O(3)$ , the intersection  $\Gamma \cap \mathbb{R}^3$  is a lattice of  $\mathbb{R}^3$  and a finite index subgroup of  $\Gamma$  by Bieberbach's first theorem. This implies M is formal if the geometric structure of M is  $E^3$ . For Sol, Sol is the Lie group  $G = \mathbb{R}_\phi \ltimes \mathbb{R}^2$  such that  $\phi(t) = \begin{pmatrix} e^t & 0 \\ 0 & e^t \end{pmatrix}$  with an invariant metric. Let  $\Gamma$  be a discrete subgroup of  $\mathrm{Isom}(Sol)$  such that  $\Gamma \backslash G$  is compact. Since the identity component of  $\mathrm{Isom}(Sol)$  is G and it is a finite index normal subgroup of  $\mathrm{Isom}(Sol)$ (see [17]),  $\Gamma \cap G$  is a finite index subgroup of  $\Gamma$  and  $\Gamma \cap G \backslash G$  is compact. Hence  $\Gamma$  is a finite extension of a lattice of G. By Corollary 5.5, we have the corollary.

#### 6. Relations to Kähler Structures

6.1. The hard Lefschetz property. We review the definition of the hard Lefschetz property and prove Proposition 1.5.

**Definition 6.1.** Let  $(M, \omega)$  be a 2n-dimensional symplectic manifold. We say that  $(M, \omega)$  satisfies the hard Lefschetz property if the linear map

$$[\omega^{n-i}] \wedge : H^i(M, \mathbb{R}) \to H^{2n-i}(M, \mathbb{R})$$

is an isomorphism for any  $0 \le i \le n$ .

**Theorem 6.2.** ([14]) Compact Kähler manifolds satisfy the hard Lefschetz properties.

**Proposition 6.3.** Let  $\Gamma$  be a torsion-free virtually polycyclic group. Suppose the standard  $\Gamma$ -manifold  $M_{\Gamma}$  admits a symplectic structure. If the unipotent hull  $\mathbf{U}_{\Gamma}$  is abelian,  $M_{\Gamma}$  satisfies the hard Lefschetz property.

*Proof.* As in Section 3.4, we have the sub-DGA  $(\bigwedge \mathfrak{u}^*)^T$  with d=0 in  $A^*(M_{\Gamma})$  and the isomorphism  $(\bigwedge \mathfrak{u}^*)^T \cong H^*(M_{\Gamma}, \mathbb{R})$ . For a symplectic form of  $\omega$  on  $M_{\Gamma}$ , we have  $\omega_0 \in (\bigwedge \mathfrak{u}^*)^T$  which is cohomologous to  $\omega$ . Since  $\omega^n \neq 0$  for  $2n = \dim \mathfrak{u} = \dim M_{\Gamma}$ ,  $\omega_0$  is a symplectic form on the vector space  $\mathfrak{u}$ . Since

$$\omega_0^{n-i} \wedge : \bigwedge \mathfrak{u}^i \to \bigwedge \mathfrak{u}^{2n-i}$$

is injective for any  $0 \le i \le n$  by the hard Lefschetz property of a torus,

$$\omega_0^{n-i} \wedge : (\bigwedge \mathfrak{u}^i)^T \to (\bigwedge \mathfrak{u}^{2n-i})^T$$

is also injective and so

$$[\omega^{n-i}] \wedge : H^i(M_{\Gamma}, \mathbb{R}) \to H^{2n-i}(M_{\Gamma}, \mathbb{R})$$

is injective and thus it is an isomorphism by the Poincaré duality. Hence we have the proposition.  $\Box$ 

Corollary 6.4. Suppose  $M_{\Gamma}$  admits a symplectic structure. If  $\Gamma$  satisfies the condition (2) in Theorem 1.2,  $M_{\Gamma}$  satisfies the hard Lefschetz property.

6.2. Formal solvmanifolds satisfying the hard Lefschetz property but not admitting Kähler structure. In [1], Arapura showed that the fundamental groups of compact Kähler solvmanifolds are virtually abelian. Let G be a simply connected solvable Lie group. We call G type (I) if for any  $g \in G$  the all eigenvalues of the adjoint operator  $\mathrm{Ad}_g$  have absolute value 1. In [2] it was proved that a lattice of a simply connected solvable Lie group G is virtually nilpotent if and only if G is type (I). In [3] Baues proved every compact solvmanifold with the fundamental group  $\Gamma$  is diffeomorphic to the standard  $\Gamma$ -manifold. Hence we have the following corollary.

**Corollary 6.5.** Let  $G = \mathbb{R}^n \ltimes_{\phi} \mathbb{R}^m$  such that  $\phi : \mathbb{R}^n \to \operatorname{Aut}(\mathbb{R}^m)$  is semi-simple and G is not type (I). Then for any lattice  $\Gamma$  of G,  $G/\Gamma$  is a formal solumnifold which does not admit a Kähler structure. If  $G/\Gamma$  admits a symplectic structure,  $G/\Gamma$  satisfies the hard Lefschetz property.

6.3. **Examples.** Earlier, in [8] Fernandez, and Gray constructed examples of formal solvmanifolds satisfying the hard Lefschetz property not admitting a Kähler structure. For a Lie group  $G = \mathbb{R} \ltimes_{\phi} \mathbb{R}^2$  with  $\phi(t) = \begin{pmatrix} e^{kt} & 0 \\ 0 & e^{-kt} \end{pmatrix}$ , they showed that for a lattice  $\Gamma$  of G the manifold  $G/\Gamma \times S^1$  is such an example. By the result of this paper we generalize this construction.

**Example.1**(Generalizations of Fernandez and Gray's examples) Let  $G = \mathbb{R} \ltimes_{\phi} \mathbb{R}^{2(m+n)+1}$  such that

$$\phi(t) = \begin{pmatrix} e^{a_1t} & 0 \\ 0 & e^{-a_1t} \end{pmatrix} \oplus \cdots \oplus \begin{pmatrix} e^{a_mt} & 0 \\ 0 & e^{-a_mt} \end{pmatrix}$$

$$\oplus \begin{pmatrix} \cos b_1 t & -\sin b_1 t \\ \sin b_1 t & \cos b_1 t \end{pmatrix} \oplus \cdots \oplus \begin{pmatrix} \cos b_n t & -\sin b_n t \\ \sin b_n t & \cos b_n t \end{pmatrix} \oplus (1)$$

for  $a_i, b_i \in \mathbb{R}$ .

Then the cochain complex  $(\bigwedge \mathfrak{g}^*, d)$  of the Lie algebra of G is given by:

$$\mathfrak{g}^* = \langle \tau, x_i, y_i, z_j, w_j, \sigma \rangle,$$
$$d\tau = d\sigma = 0.$$

$$dx_i = -a_i \tau \wedge x_i, \ dy_i = a_i \tau \wedge y_i, \quad (1 \le i \le m),$$
$$dz_i = b_i \tau \wedge w_i, \ dw_i = -b_i \tau \wedge z_i, \quad (1 \le j \le n).$$

We have an invariant symplectic form  $\omega = \tau \wedge \sigma + \sum_{i=1}^{m} x_i \wedge y_i + \sum_{j=1}^{n} z_j \wedge w_j$ Hence for any lattice  $\Gamma$ ,  $G/\Gamma$  is formal and satisfies the hard Lefschetz property. If some  $a_i$  is not zero,  $G/\Gamma$  does not admit a Kähler metric.

Example.2 (complex example)

Let  $G = \mathbb{C} \ltimes_{\phi} \mathbb{C}^2$  with  $\phi(x) = \begin{pmatrix} e^x & 0 \\ 0 & e^{-x} \end{pmatrix}$ . Then the cochain complex  $(\bigwedge \mathfrak{g}^*, d)$  of the Lie algebra of G is given by:

$$\mathfrak{g}^* = \langle x_1, x_2, y_1, y_2, z_1, z_2 \rangle,$$

$$dx_1 = dx_2 = 0,$$

$$dy_1 = -x_1 \wedge y_1 + x_2 \wedge y_2, dy_2 = -x_2 \wedge y_1 - x_1 \wedge y_2,$$

$$dz_1 = x_1 \wedge z_1 - x_2 \wedge z_2, dz_2 = x_1 \wedge z_2 + x_2 \wedge z_1.$$

We have an invariant symplectic form  $\omega = x_1 \wedge x_2 + z_1 \wedge y_1 + y_2 \wedge z_2$ . In [10], it was shown that G has some lattices. For any lattice  $\Gamma$ ,  $G/\Gamma$  is complex, symplectic with the hard Lefschetz property and formal but not Kähler.

#### 7. Remarks

In this Section we give an example of a formal standard  $\Gamma$ -manifold with the hard Lefschetz property such that  $U_{\Gamma}$  is not abelian. In addition this is also an example of formal manifold satisfying the hard Lefschetz property such that it is finitely covered by a non-formal manifold not satisfying the hard Lefschetz property. We notice that compact manifolds finitely covered by non-Kähler manifolds are not Kähler.

Let  $\Gamma = \mathbb{Z} \ltimes_{\phi} \mathbb{Z}^2$  such that for  $t \in \mathbb{Z}$ 

$$\phi(t) = \left( \begin{array}{cc} (-1)^t & (-1)^t t \\ 0 & (-1)^t \end{array} \right).$$

**Lemma 7.1.** The algebraic hull of  $\Gamma$  is given by  $\mathbf{H}_{\Gamma} = \{\pm 1\} \ltimes \mathbf{U}_{\mathbf{3}}(\mathbb{C})$  such that

$$(-1) \cdot \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & x & (-1)z \\ 0 & 1 & (-1)y \\ 0 & 0 & 1 \end{pmatrix}$$

*Proof.* We have the inclusion

$$\Gamma \cong \left( (-1)^x, \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \right) \subset \{\pm 1\} \ltimes \mathbf{U}_{\Gamma}.$$

Then  $\Gamma$  is Zariski-dense in  $\{\pm 1\} \ltimes \mathbf{U}_{\Gamma}$  and rank  $\Gamma = 3 = \dim \mathbf{U}_{\Gamma}$ . Since the action of  $\{\pm 1\}$  on  $\mathbf{U}_{\Gamma}$  is faithful, we have  $Z_{\mathbf{H}_{\Gamma}}(\mathbf{U}_{\Gamma}) \subset \mathbf{U}_{\Gamma}$ . Hence the lemma follows.

We have  $\mathbf{H}_{\Gamma}(\mathbb{R}) = \{\pm 1\} \ltimes U_{\Gamma}$  such that  $U_{\Gamma} = \mathbf{U}_{3}(\mathbb{R})$ . Let  $\mathfrak{u}$  be the Lie algebra of  $U_{\Gamma}$ . We have  $\mathfrak{u} = \langle X_{1}, X_{2}, X_{3} \rangle$  such that the bracket is given by

$$[X_1, X_2] = -[X_2, X_1] = X_3.$$

The  $\{\pm 1\}$ -action on  $\mathfrak u$  is given by

$$(-1) \cdot X_1 = X_1, \ (-1) \cdot X_i = -X_i$$
  $i = 2, 3$ 

Let  $x_1, x_2, x_3$  be the basis of  $\mathfrak{u}^*$  which is dual to  $X_1, X_2, X_3$ . Then the DGA  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}}$  is the subalgebra of  $\bigwedge \mathfrak{u}^*$  generated by  $\{x_1, x_2 \wedge x_3\}$  and the derivation on  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}}$  is trivial. Let  $M_{\Gamma}$  be the standard  $\Gamma$ -manifold. Then by Theorem 3.12, we have the quasi-isomorphism  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}} \to A^*(M_{\Gamma})$ . Since the derivation on  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}}$  is trivial, we have the isomorphism  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}} \cong H^*(M)$ . Hence we have:

# **Proposition 7.2.** $M_{\Gamma}$ is formal.

Remark 1. Since  $U_{\Gamma}$  is not abelian, the converse of Proposition 5.4 is not true.

Remark 2. We have the finite index subgroup  $2\mathbb{Z} \ltimes \mathbb{Z}^2$  which is nilpotent. So  $\Gamma$  is virtually nilpotent but not virtually abelian. By the result of [9],  $K(2\mathbb{Z} \ltimes \mathbb{Z}^2, 1)$  is not formal. But for the finite extension group  $\Gamma$ ,  $K(\Gamma, 1)$  is formal.

Remark 3. Since  $\{\pm 1\}$  acts isometrically on  $U_{\Gamma}$  with the invariant metric,  $M_{\Gamma}$  has Nil structure. So we have a formal 3-dimensional compact manifold which has Nil structure.

Let  $\Delta = \Gamma \times \mathbb{Z}$ . Then we have  $H_{\Delta} = H_{\Gamma} \times \mathbb{R}$  and  $U_{\Delta} = U_{\Gamma} \times \mathbb{R}$ . As above we have the quasi-isomorphism inclusion  $(\bigwedge \mathfrak{u}^*)^{\{\pm 1\}} \otimes \bigwedge(y) \subset A^*(M_{\Delta})$ . Let  $\omega = x_1 \wedge y + x_2 \wedge x_3$ . Then  $\omega$  is a symplectic form on  $M_{\Delta}$ . Since  $H^1(M_{\Delta}, \mathbb{R}) \cong \langle x_1, y \rangle$  and  $H^3(M_{\Delta}, \mathbb{R}) \cong \langle x_1 \wedge x_2 \wedge x_3, x_2 \wedge x_3 \wedge y \rangle$ , the linear map  $[\omega] \wedge : H^1(M_{\Delta}, \mathbb{R}) \to H^3(M_{\Delta}, \mathbb{R})$  is an isomorphism and hence we have the following proposition.

**Proposition 7.3.**  $M_{\Gamma} \times S^1$  satisfies the hard Lefschetz property.

Remark 4.  $\Delta$  is a finite extension group of the non-abelian nilpotent group  $2\mathbb{Z} \ltimes \mathbb{Z}^2 \times \mathbb{Z}$  as remark 2. By the result of [4], a compact  $K(2\mathbb{Z} \ltimes \mathbb{Z}^2 \times \mathbb{Z}, 1)$ -manifold is not a Lefschetz 4-manifold. Thus  $M_{\Delta}$  is a example of a Lefschetz 4-manifold with non-Lefschetz finite covering space. In [11, Example 3.4], Lin showed the existence of Lefschetz 4-manifolds with non-Lefschetz finite covering space.  $M_{\Delta}$  is a simpler and more constructive example.

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(H.kasuya) Graduate school of mathematical science university of tokyo japan

 $E ext{-}mail\ address: khsc@ms.u-tokyo.ac.jp}$